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THE UNITED STATES PATENT AND TRADEMARK OFFICE

March 6, 2001

#4
4-17-01
DRS

In re application of : Carmichael, Robert M.
Serial No. : 09/730,116
Filed : 12/05/00
For : **ACTIVE CONTROL RELEASABLE BALLAST
SYSTEM WITH DIVE EQUIPMENT**
Examiner : Unknown
Art Unit : Unknown
Our File No. : 10464.6803

INFORMATION DISCLOSURE STATEMENT

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TO 3600 MAIL ROOM

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Applicant wishes to call the Examiner's attention to the references listed on Form PTO-1449 in accordance with 37 C.F.R. §1.56 and 37 C.F.R. §§1.97 and 1.98 (see attached).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists (37 C.F.R. §§1.97(g) and 1.97(h)).

The filing of this information disclosure statement shall not be considered as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).

Any additional fees, including Extension of Time, please bill our Deposit Account No. 13-1130.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D. Polley', is written over a horizontal line.

Daniel S. Polley, Reg. No. 34,902
Malin, Haley & DiMaggio, P.A.
1936 South Andrews Avenue
Ft. Lauderdale, FL 33316
(954) 763-3303

PA:ACTIVE CONTROL RELEASABLE BALLAST...

Serial No. 09/730,116

File Number 10464.6803



CERTIFICATE OF MAILING

I HEREBY CERTIFY that the following correspondence: INFORMATION DISCLOSURE STATEMENT; FORM 1449; COPIES OF CITED REFERENCES; RETURN POSTCARD FOR CONFIRMATION OF RECEIPT is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 7th day of March, 2001.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.

Betty Bernal
Betty Bernal, Paralegal

Date: 3/7/01

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MALIN, HALEY & DiMAGGIO, P.A.
1936 South Andrews Avenue
Fort Lauderdale, Florida 33316
(954) 763-3303